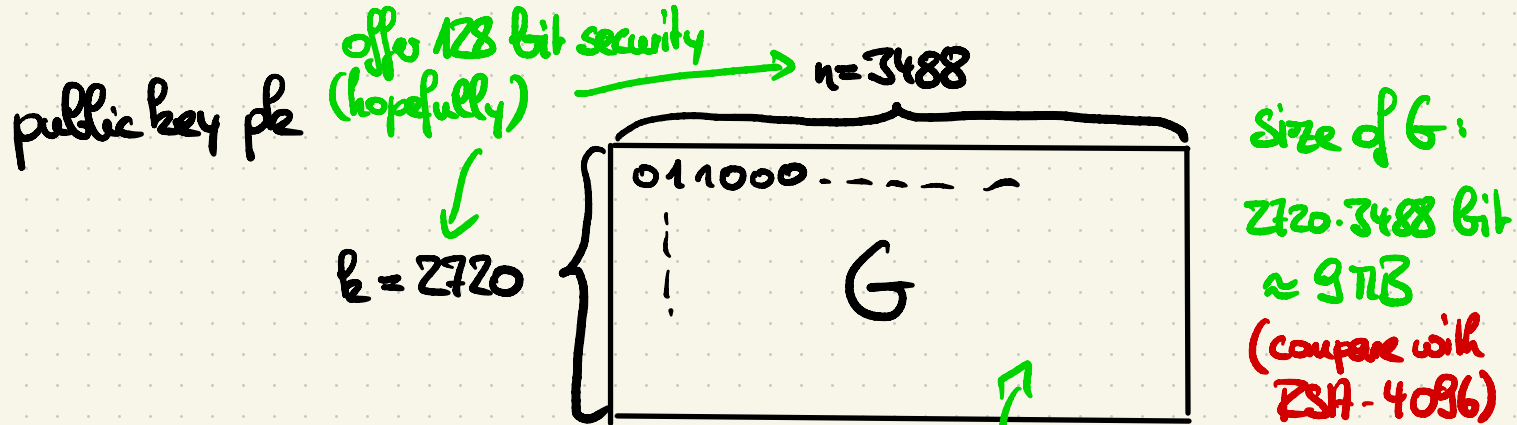


QSI Spring School on PQ Crypto, Porto

Lecture: Codes (Part I: Constructions)

Alexander May, Ruhr-University Bochum

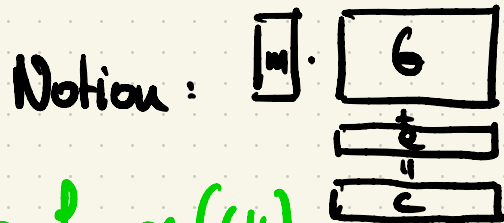
Teaser for McEliece (Robot McEliece '78, Standard Classic McEliece '24(?))



128-bit security: Best algorithm requires $\geq 2^{128}$ steps classically, quantumly less. generator matrix over \mathbb{F}_2

Encryption: $c = m \cdot G + e$

Annotations:
 c : ciphertext
 m : message
 G : pk
 e : added error, small no. of ones (64)



added error, small no. of ones (64)

Linear Code

We omit binary, since we always work with the binary field \mathbb{F}_2 .

Def. A (binary) linear code C is a subspace of \mathbb{F}_2^n .

Let $k = \dim(C)$. Any basis $G \in \mathbb{F}_2^{k \times n}$ is called a generator matrix.

Notice that $C = \{xG \mid x \in \mathbb{F}_2^k\}$ and therefore $|C| = 2^k$.

Great for crypto: We compactly represent 2^k codewords from C with only $k \cdot n$ bits.

Example: Repetitioncode $R(3)$

$$G = \begin{pmatrix} 111 & \emptyset & \emptyset \\ \emptyset & 111 & \emptyset \\ \emptyset & \emptyset & \dots & 111 \end{pmatrix} \in \mathbb{F}_2^{k \times 3k} \quad (x_1 x_2 \dots x_k) \cdot G = x_1 x_1 x_1 x_2 x_2 x_2 \dots x_k x_k x_k$$

Hamming Distance

Def: Let $x \in \mathbb{F}_2^n$. We define the support of x as

$$\text{supp}(x) := \{i \in \mathbb{N} \mid x_i \neq 0\}.$$

The Hamming weight of x is defined as

$$w(x) := |\text{supp}(x)|.$$

The distance of $x, y \in \mathbb{F}_2^n$ is defined as

$$d(x, y) := w(x+y).$$

the set of 1-positions

$$\text{supp}(0110) = \{2, 3\}$$

no. of 1-positions

$$w(0110) = 2$$

no. of different positions

$$d(0110, 1000) = 3$$

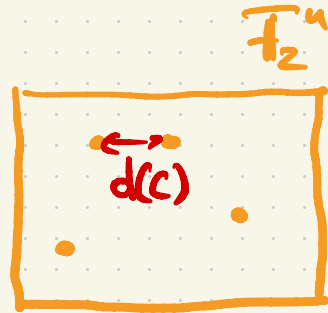
Distance of code

Def: Let $C \subseteq \mathbb{F}_2^n$. Then the distance of C is defined as

$$d(C) = \min_{c+c' \in C} \{d(c, c')\}$$

We call $\frac{d(C)}{n}$ relative distance and $\frac{|C|}{n}$ rate of C .

Determine $d(C)$ in $\mathcal{O}(|C|^2)$.



Theorem: Let $C \subseteq \mathbb{F}_2^n$ be a linear code. Then

$$d(C) = \min_{c \in C \setminus \{0\}} \{w(c)\} \leftarrow \text{Determine in } \mathcal{O}(|C|).$$

\leftarrow two vector

Proof: " \geq " Let $c+c' \in C$ with minimal distance.

$$\begin{aligned} \rightarrow d(C) &= d(c, c') = d(c+c', c'+c') \\ &= d(c+c', 0) = w(c+c') \\ &\geq \min_{c \in C \setminus \{0\}} \{w(c)\} \end{aligned}$$

$$\begin{aligned} \min_{c \in C \setminus \{0\}} \{w(c)\} &= \min_{c+\theta \in C} \{d(c, \theta)\} \\ &\leq \min_{c+c' \in C} \{d(c, c')\} \\ &= d(C) \end{aligned}$$

Hamming ball

Notation: \mathcal{C} linear code $C \subseteq \mathbb{F}_2^n$ with $\dim(C) = k$ and $d(C)$ is denoted $[n, k, d]$ -code. $\mathcal{C}(3)$ is an $[3k, k, 3]$ -code.

Def: Let $x \in \mathbb{F}_2^n$ and $r \in \mathbb{N}$. The Hamming ball with center x and radius r is

$$\mathcal{B}^n(x, r) = \{y \in \mathbb{F}_2^n \mid d(x, y) \leq r\}.$$

We define the volume of $\mathcal{B}^n(x, r)$ as $V^n(r) := |\mathcal{B}^n(x, r)|$

$$\mathcal{B}^4(0110, 1) = \{0110, 1110, 0010, 0100, 0111\}, \quad V^4(1) = 1 + 4 = 5$$

Theorem: $V^n(r) = \sum_{i=0}^r \binom{n}{i}$

Proof: There are $\binom{n}{i}$ vectors in distance i of some center.

Entropy and Binomials

Suppresses constants

Suppress low-order (polynomial) terms

Notation: $3n^2 + 2n + 1 = \tilde{O}(n^2)$, $2n^3 \cdot 2^n = \tilde{O}(2^n)$

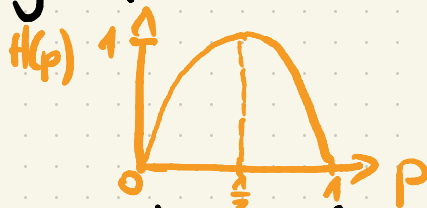
Fact (Stirling): $n! = \tilde{O}\left(\left(\frac{n}{e}\right)^n\right)$

Note that $n^n = 2^{n \log_2 n}$. Growth of $n!$ is faster than exponential in n .

Def: Let $p < 1$. Then

$H(p) := -p \cdot \log p - (1-p) \cdot \log(1-p)$. ← Binary entropy.

Theorem: $\binom{n}{i} = \tilde{O}\left(2^{H\left(\frac{i}{n}\right) \cdot n}\right)$



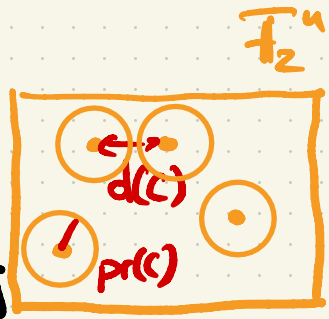
Proof:

$$\begin{aligned} \binom{n}{i} &= \frac{n!}{(n-i)! i!} \stackrel{\text{Stirling}}{=} \tilde{O}\left(\frac{n^n}{(n-i)^{n-i} \cdot i^i} \cdot \frac{e^{n-i} \cdot e^i}{e^n}\right) = \tilde{O}\left(\frac{n^n}{(n(1-\frac{i}{n}))^{n-i} \cdot (n \cdot \frac{i}{n})^i}\right) \\ &= \tilde{O}\left(\left(\frac{1}{(1-\frac{i}{n})^{1-\frac{i}{n}} \cdot (\frac{i}{n})^{\frac{i}{n}}}\right)^n\right) = \tilde{O}\left(2^{H\left(\frac{i}{n}\right) \cdot n}\right) \end{aligned}$$

Packing radius & unique decoding

Def: Let $C \subseteq \mathbb{F}_2^n$ be a code. C 's packing radius is

$$\text{pr}(C) := \max_{r \in \mathbb{N}} \{ B^n(c, r) \text{ are disjoint for all } c \in C \}$$



Corollary: $\text{pr}(C) = \lfloor \frac{d-1}{2} \rfloor$.

Promise problem: In crypto applications we are often in $B^n(c, \lfloor \frac{d-1}{2} \rfloor)$ by construction.

Note: Every point in $B^n(c, \text{pr}(C))$ allows for unique decoding to c .

$$d(\mathcal{R}(3)) = 3 \Rightarrow \text{pr}(\mathcal{R}(3)) = 1$$

$\mathcal{R}(3)$ allows for correcting one error (per block) via majority decision.

$$010 \rightsquigarrow 000, \quad 011 \rightsquigarrow 111$$

GV bound (Gilbert-Varshamov)

Theorem: There exists an $[n, k]$ -code with distance d satisfying

$$H\left(\frac{d}{n}\right) \geq 1 - \frac{k}{n}.$$

relative distance \uparrow rate \uparrow

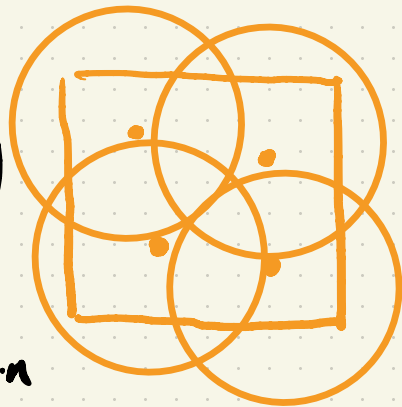
Proof sketch: Let $C \subseteq \mathbb{F}_2^n$ have maximal $k = \dim(C)$ among all linear codes with distance d . Then $\bigcup_{C \subseteq C} \mathcal{B}^n(d-1, C) = \mathbb{F}_2^n$.

(Otherwise we can add $v \in \mathbb{F}_2^n \setminus \bigcup_{C \subseteq C} \mathcal{B}^n(d-1, C)$ to the basis)

$$\Rightarrow 2^n = \left| \bigcup_{C \subseteq C} \mathcal{B}^n(d-1, C) \right| \leq \sum_{C \subseteq C} |\mathcal{B}^n(d-1, C)| = |C| \cdot V^n(d-1) \approx 2^k \cdot 2^{H\left(\frac{d}{n}\right) \cdot n}$$

$$\Rightarrow H\left(\frac{d}{n}\right) \geq 1 - \frac{k}{n}.$$

Fact: Codes with random $G \in \mathbb{F}_2^{k \times n}$ achieve max. distance $H\left(\frac{d}{n}\right) \approx 1 - \frac{k}{n}$.



Inner Product

Def: Let $x, y \in \mathbb{F}_2^n$ with inner product

$$\mathbb{F}_2^n \times \mathbb{F}_2^n \mapsto \mathbb{F}_2, (x, y) \mapsto \langle x, y \rangle = \sum_{i=1}^n x_i y_i$$

Facts: ① Symmetry:

$$\langle x, y \rangle = \langle y, x \rangle$$

② Bilinearity

$$\langle x+y, z \rangle = \langle x, z \rangle + \langle y, z \rangle$$

③ Scalar Associativity: $\langle \alpha x, y \rangle = \alpha \langle x, y \rangle = \langle x, \alpha y \rangle$ for $\alpha \in \mathbb{F}_2$

Def: We call x, y orthogonal if $\langle x, y \rangle = 0$.

Exercise: Show that every $x \in \mathbb{F}_2^n \setminus \{0\}$ is orthogonal to half of the vectors in \mathbb{F}_2^n .

↑ Lot of orthogonality in \mathbb{F}_2^n .

Orthogonal Complement

Def: Let $C \subseteq \mathbb{F}_2^n$ be a linear code. We denote the orthogonal complement of C by $C^\perp = \{x \in \mathbb{F}_2^n \mid \langle c, x \rangle = 0 \text{ for all } c \in C\}$.

Example: Let C be generated by $G = \begin{pmatrix} 1 & 0 & 1 & 1 \\ 1 & 0 & 0 & 1 \end{pmatrix}$. Elements $x \in C^\perp$ satisfy

$$\begin{cases} \langle 1011, x \rangle = 0 \\ \langle 1001, x \rangle = 0 \end{cases} \Leftrightarrow \begin{cases} x_1 + x_3 + x_4 = 0 \\ x_1 + x_4 = 0 \end{cases} \Leftrightarrow \begin{cases} x_3 = 0 \\ x_1 + x_4 = 0 \end{cases}$$

Why does the basis suffice?

$$\Rightarrow C^\perp = \{0000, 1001, 0100, 1101\}$$

generated by $\begin{pmatrix} 1 & 0 & 0 & 1 \\ 0 & 1 & 0 & 0 \end{pmatrix}$ ↗ Always a linear code?

Dual Code

Theorem: $C^\perp \subseteq \mathbb{F}_2^n$ is a linear code, called the dual code of C .

Proof: ① $0^n \in \mathbb{F}_2^n$

② Let $x, y \in C^\perp$. Then we have for all $c \in C$ \leftarrow We show that C^\perp is a subspace.

$$\langle x+y, c \rangle = \underbrace{\langle x, c \rangle}_0 + \underbrace{\langle y, c \rangle}_0 = 0 \Rightarrow x+y \in C^\perp.$$

Theorem: Let C, D be linear codes with $C \subseteq D$. Then $D^\perp \subseteq C^\perp$.

Proof: $x \in D^\perp \Rightarrow \langle x, d \rangle = 0$ for all $d \in D$

$\Rightarrow \langle x, c \rangle = 0$ for all $c \in C$

$\Rightarrow x \in C^\perp$

Parity Check Matrix

Def: Let $C \subseteq \mathbb{F}_2^n$ be a linear code. Any matrix P is called parity check matrix of C if $C = \{x \in \mathbb{F}_2^n \mid P \cdot x^t = 0\}$. ↪ May define C via G or P .

Theorem: Let C be generated by $G \in \mathbb{F}_2^{k \times n}$.

Let $f: \mathbb{F}_2^k \rightarrow \mathbb{F}_2^n, x \mapsto Px^t$.
Then $\ker(f) = C$.

① $C^\perp = \{x \in \mathbb{F}_2^n \mid Gx^t = 0\}$, i.e., G is parity check matrix of C^\perp .

② $\dim(C^\perp) = n - \dim(C) = n - k$

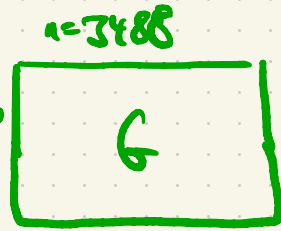
③ $C^{\perp\perp} = C$

Proof: left as an exercise

Some McEliece implications

Recall: McEliece's pk is generator matrix G .

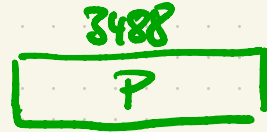
$k=2120$



Problem: pretty large.

Ideas: ① Take parity check matrix P .

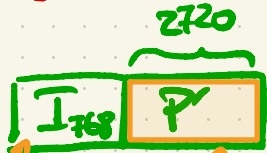
$n-k=768$



Saves already factor of $\frac{2120}{768} \approx 4$ in size.

② Take systematic form of P .

compact basis



Saves another 768^2 bits.

ignore

McEliece pk

Exercise: Show that a random matrix from $\mathbb{F}_2^{n \times n}$ is invertible with probability $\prod_{i=0}^{n-1} 1 - \frac{1}{2^{n-i}} > 0.288$.

Equivalent codes

Def: Let C have basis $G \in \mathbb{F}_2^{k \times n}$. C' is equivalent to C if there exists an invertible matrix $S \in \mathbb{F}_2^{k \times k}$ and a permutation matrix $P \in \mathbb{F}_2^{n \times n}$ such that

C' is generated by $S \cdot G \cdot P$.

S : performs row operations, e.g. Gauss elimination
 G : another basis of C
 P : permutes columns, i.e., codeword positions

Notice: Equivalent codes have the same parameters $[n, k, d]$.

Exercise: Show that any C has an equivalent code with generator/parity check matrix in systematic form.

From generator to parity (and vice versa) $\mathbb{F}_2^{k \times (n-k)}$

Theorem: Let C be generated by $G = [I_k | H] \in \mathbb{F}_2^{k \times n}$.

Then $P = [H^t | I_{n-k}] \in \mathbb{F}_2^{(n-k) \times n}$ is a parity check matrix for C .

Proof: Let C' be the code with parity check matrix P . We show $C' = C$ via

① $C' \subseteq C$: For every row g_i of G we have $P g_i^t = 0$, since its j -th entry is $(a_{1j} \dots a_{kj} \ 0 \dots 1 \dots 0) \cdot (0 \dots 1 \dots 0 \ a_{i1} \dots a_{i,n-k})$
 $= a_{ij} + a_{ij} = 0$.

② $\dim(C') = \dim(C)$: $(C')^\perp$ has generator $P \in \mathbb{F}_2^{(n-k) \times n}$
 $\Rightarrow \dim(C') = n - \underbrace{\dim(C'^\perp)}_{n-k} = k = \dim(C)$.

Goppa Code

McEliece parameters : $n = 3488$, $t = 64$, $m = 12$ $tm = 12 \cdot 64 = 768 = n - \frac{n}{2}$

① m defines the large field $\mathbb{F}_{2^m} = \mathbb{F}_{2^{12}}$ with 4096 elements.

② t defines the degree of the irreducible Goppa polynomial $g(x) \in \mathbb{F}_{2^m}[x]$, i.e.,
$$g(x) = \sum_{i=0}^t g_i \cdot x^i, \quad g_i \in \mathbb{F}_{2^m}.$$
 ↙ implies $n \leq 2^m$

③ n defines the number of distinct Goppa points $L = \{\alpha_1, \dots, \alpha_n\} \subseteq \mathbb{F}_{2^m}$.

Definition: A Goppa code C of length n is

$$C(L, g) = \left\{ c \in \mathbb{F}_2^n : \sum_{i=1}^n \frac{c_i}{x - \alpha_i} = 0 \pmod{g(x)} \right\}$$

elegant, but not suited for defining a parity check matrix

Exercise: Check that $C(L, g)$ is a code, i.e., a subspace of \mathbb{F}_2^n .

Towards a Parity Check Matrix

cancel

Recall: $g(x) = g_0 + g_1x + \dots + g_t x^t$

Observe that $\frac{1}{x - \alpha_i} = - \frac{g(x) - g(\alpha_i)}{x - \alpha_i} \cdot g^{-1}(\alpha_i) \pmod{g(x)}$. Let $g(x) = \sum_{i=0}^t g_i x^i$.

$$\frac{g(x) - g(\alpha_i)}{x - \alpha_i} = \frac{g_1(x - \alpha_i) + \dots + g_t(x^t - \alpha_i^t)}{x - \alpha_i} = g_1 + g_2(x + \alpha_i) + g_3(x^2 + \alpha_i x + \alpha_i^2) + \dots + g_t(x^{t-1} + \alpha_i x^{t-2} + \dots + \alpha_i^{t-1})$$

Codeword $c = \sum_{i=1}^n c_i \frac{g(x) - g(\alpha_i)}{x - \alpha_i} g^{-1}(\alpha_i)$ has coefficients

Identity: $P^T c = 0^t$

$$\begin{array}{l} x^{t-1} : \sum_{i=1}^n c_i g_t g^{-1}(\alpha_i) \\ x^{t-2} : \sum_{i=1}^n c_i (g_{t-1} + \alpha_i g_t) g^{-1}(\alpha_i) \\ \vdots \\ x^0 : \sum_{i=1}^n c_i (g_1 + \alpha_i g_2 + \alpha_i^2 g_3 + \dots + \alpha_i^{t-1} g_t) \cdot g^{-1}(\alpha_i) \end{array} \quad P^T = \begin{pmatrix} g_t & & & & \\ g_{t-1} + \alpha_1 g_t & g_{t-1} + \alpha_2 g_t & \dots & g_{t-1} + \alpha_n g_t & \\ \vdots & \vdots & \ddots & \vdots & \\ g_1 + \alpha_1^{t-1} g_t & g_1 + \alpha_2^{t-1} g_t & \dots & g_1 + \alpha_n^{t-1} g_t & \end{pmatrix} \cdot \begin{pmatrix} g^{-1}(\alpha_1) & & & & \\ & \sigma & & & \\ & & \ddots & & \\ & & & \sigma & \\ & & & & g^{-1}(\alpha_n) \end{pmatrix}$$

$$P' = \begin{pmatrix} g_t & & & \\ g_{t-1} + \alpha_1 g_t & g_t & & \\ \vdots & \vdots & \ddots & \vdots \\ g_{t-1} + \alpha_1 g_t & g_{t-1} + \alpha_2 g_t & \dots & g_{t-1} + \alpha_n g_t \\ \vdots & \vdots & \ddots & \vdots \\ g_{t-1} + \alpha_1 g_t & g_{t-1} + \alpha_2 g_t & \dots & g_{t-1} + \alpha_n g_t \\ \vdots & \vdots & \ddots & \vdots \\ g_1 + \alpha_1 g_t & g_1 + \alpha_2 g_t & \dots & g_1 + \alpha_n g_t \end{pmatrix} \cdot \begin{pmatrix} g^{-1}(\alpha_1) & & & \\ & \ddots & & \\ & & \ddots & \\ & & & g^{-1}(\alpha_n) \end{pmatrix}$$

$$\Rightarrow P' = \begin{pmatrix} g_t & 0 & \dots & 0 \\ g_{t-1} & g_t & \dots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ g_1 & g_2 & \dots & g_t \end{pmatrix} \cdot \begin{pmatrix} 1 & 1 & \dots & 1 \\ \alpha_1 & \alpha_2 & \dots & \alpha_n \\ \vdots & \vdots & \ddots & \vdots \\ \alpha_1^{t-1} & \alpha_2^{t-1} & \dots & \alpha_n^{t-1} \end{pmatrix} \cdot \begin{pmatrix} g^{-1}(\alpha_1) & & & \\ & \ddots & & \\ & & \ddots & \\ & & & g^{-1}(\alpha_n) \end{pmatrix}$$

↑ invertible, can be omitted

Secret Parity Check Matrix

$$\bar{P} = \begin{pmatrix} 1 & 1 & \dots & 1 \\ \alpha_1 & \alpha_2 & \dots & \alpha_n \\ \vdots & \vdots & \ddots & \vdots \\ \alpha_1^{t-1} & \alpha_2^{t-1} & \dots & \alpha_n^{t-1} \end{pmatrix} \cdot \begin{pmatrix} g^{-1}(\alpha_1) & & & \\ & \ddots & & \\ & & \ddots & \\ & & & g^{-1}(\alpha_n) \end{pmatrix} \in \mathbb{F}_{2^m}^{t \times n}$$

Notice: L, g define \bar{P} .

McEliece Public Key

Let $\mathbb{F}_{2^m} = \mathbb{F}_2[y]/f(y)$ for some irreducible (over \mathbb{F}_2) f with $\deg(f) = m$.

Then elements $\beta \in \mathbb{F}_{2^m}$ can be written as $\beta = \beta_0 + \beta_1 y + \dots + \beta_{m-1} y^{m-1}$ with $\beta_i \in \mathbb{F}_2$.

Def: Let $\mathbb{F}_{2^m} = \mathbb{F}_2[y]/f(y)$. Then we call the map

$$\mathbb{F}_{2^m} \rightarrow \mathbb{F}_2^m, \quad \beta = \beta_0 + \beta_1 y + \dots + \beta_{m-1} y^{m-1} \mapsto (\beta_0, \beta_1, \dots, \beta_{m-1})$$

the canonical embedding of \mathbb{F}_{2^m} into \mathbb{F}_2^m (with respect to f).

Apply the canonical embedding component-wise on \bar{P} :

$$\bar{P} \in \overline{\mathbb{F}_{2^m}}^{t \times n} \xrightarrow[\text{embedding}]{\text{canonical}} \hat{P} \in \mathbb{F}_2^{t \times n} \xrightarrow[\text{form}]{\text{systematic}} P = (\mathbf{I}_{tm} | H)$$

transformations should hide secret L, g

$\overline{\mathbb{F}_{2^m}}^{t \times (n-tm)}$
 $\in \mathbb{F}_2$
McEliece pk

Towards distance

Def: For $y \in \mathbb{F}_2^n$ we define the Goppa syndrome of y as $s_y(x) := \sum_{i=1}^n \frac{y_i}{x - \alpha_i} \pmod{g(x)}$.

The support of y is defined as $\text{supp}(y) = \{i \in \{1, \dots, n\} \mid y_i = 1\}$. \leftarrow y 's 1-positions

The Goppa multiplier of $y \in \mathbb{F}_2^n$ is defined as $f_y(x) := \prod_{i \in \text{supp}(y)} (x - \alpha_i)$.

Corollary: $c \in C(L, g) \Leftrightarrow \sum_{i=1}^n \frac{c_i}{x - \alpha_i} = 0 \pmod{g(x)} \Leftrightarrow s_c(x) = 0$

Lemma: For $y \in \mathbb{F}_2^n$ we have $f'_y(x) = \sum_{i \in \text{supp}(y)} \left(\prod_{\substack{j \in \text{supp}(y) \\ j \neq i}} (x - \alpha_j) \right)$ \leftarrow derivative of i -th term

Proof: Apply product formula of differentiation.

$$(uvw)' = u'vw + uv'w + uvw'$$

Lemma: $c \in C(L, g) \Leftrightarrow f'_c(x) = 0 \pmod{g(x)}$

Proof: From the previous corollary we have $c \in C(L, g) \Leftrightarrow s_c(x) = 0$.

Since $f_c(x) = \prod_{i \in \text{supp}(c)} (x - \alpha_i)$ and $g(x)$ is irreducible of $\deg(g) = t > 1$, we have

$$\gcd(f_c(x), g(x)) = 1.$$

$$\text{Moreover, } s_c(x) \cdot f_c(x) = \sum_{i=1}^n \frac{c_i}{x - \alpha_i} \cdot \prod_{i \in \text{supp}(c)} (x - \alpha_i) = \sum_{i \in \text{supp}(c)} \prod_{\substack{j \in \text{supp}(c) \\ j \neq i}} (x - \alpha_j) = f'_c(x) \pmod{g(x)}.$$

It follows that $s_c(x) = 0 \pmod{g(x)} \Leftrightarrow f'_c(x) = 0 \pmod{g(x)}$. □

Lemma: $C(L, g) = C(L, g^2)$

" \supseteq ": Let $c \in C(L, g^2)$. Then $s_c(x) = 0 \pmod{g^2(x)}$

$$\Rightarrow s_c(x) = 0 \pmod{g(x)} \Rightarrow c \in C(L, g)$$

" \subseteq ": Let $c \in C(L, g)$. Then $f'_c(x) = 0 \pmod{g(x)}$.

Let $f'_c(x) = \sum_{i=1}^n i f_i x^{i-1}$. For even i we have $i f_i x^{i-1} = 0 \pmod{2}$.

$$\Rightarrow f'_c(x) = \sum_{i=0 \pmod{2}}^n f_i (x^{\frac{i}{2}})^2 = \left(\sum_{i=0 \pmod{2}}^n f_i x^{\frac{i}{2}} \right)^2$$

Recall that $a^2 + b^2 = (a+bi)^2$ and $a^{2^n} = a$ over \mathbb{F}_{2^n} .

Therefore $f'_c(x)$ is a square, implying that every irreducible factor of $f'_c(x)$ has to appear with even multiplicity. Thus $g^2(x) \mid f'_c(x)$

$$\Leftrightarrow f'_c(x) = 0 \pmod{g^2(x)} \Leftrightarrow c \in C(L, g^2). \quad \square$$

Goppa code distance

Theorem: Let $C(L, g)$ be a Goppa code with $\deg(g) = t$. Then $d(C) \geq 2t + 1$.

Proof: Let $c \in C \setminus \{0\}$ be a codeword of minimal weight $w(c) = d(C)$. We have

$$f'_c(x) = \sum_{i \in \text{supp}(c)} \prod_{\substack{j \in \text{supp}(c) \\ j \neq i}} (x - \alpha_j) = 0 \pmod{g^2(x)}. \quad \text{Recall } C(L, g) = C(L, g^2).$$

$$\Rightarrow g^2(x) \mid f'_c(x) \Rightarrow \deg(f'_c(x)) = d(C) - 1 \geq \deg(g^2(x)) = 2t. \quad \square$$

QSI Spring School on PQ Crypto, Porto

Lecture: Codes (Part II: Deconstructions)

Alexander May, Ruhr-University Bochum

McEliece encryption/decryption

goppa points goppa polynomial

Gen: public key $P \in \mathbb{F}_2^{(n-k) \times n}$, secret key L, g

Enc: Embed m injectively in \mathbb{F}_2^n with weight t : $m \mapsto e$

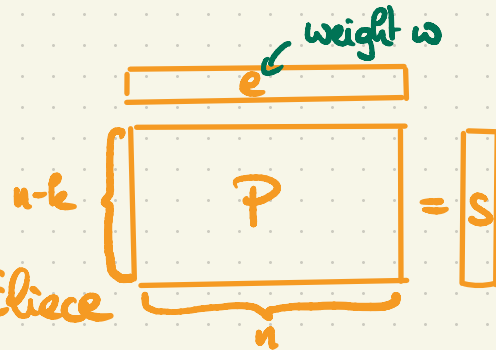
Encryption: $s = P \cdot e^t \in \mathbb{F}_2^{tm}$
↑ syndrome

Dec: Recover e from syndrome s using the secret key L, g . (details later)
Invert the embedding to recover m from e .

Syndrome Decoding Problem

Given: $P \in \mathbb{F}_2^{(n-k) \times n}$, $s \in \mathbb{F}_2^{n-k}$, $w = t$ for McEliece

Find: $e \in \mathbb{F}_2^n$ with $w(e) = w$ and $s = P \cdot e^t$.



Information Set Decoding (Prange '62)

Idea:

$$\begin{array}{c} \boxed{e_1 \quad e_2} \\ n-k \left\{ \begin{array}{c|c} \boxed{P_1} & \boxed{P_2} \\ \hline \underbrace{\quad}_{n-k} & \underbrace{\quad}_k \end{array} \right\} \cdot s \xrightarrow[\cdot P_1^{-1}]{\text{Gauß}} \begin{array}{c} \boxed{e_1 \quad e_2} \\ \boxed{I_{n-k} \quad P_1^{-1} \cdot P_2} \cdot \begin{array}{c} \boxed{c} \\ \leftarrow P_1^{-1} \cdot s \end{array} \end{array}$$
$$\Rightarrow e_1 + P_1^{-1} \cdot P_2 \cdot e_2 = P_1^{-1} \cdot s$$

For $e_2 = 0^k$ we obtain $e_1 = P_1^{-1} \cdot s$, and therefore the solution $e = (e_1, 0^k)$.

Def: We call the first $n-k$ columns of P an information set.

Prange's idea: Permute P s.t. the information set contains all ones of e .

Prange's ISD

Input: $P \in \mathbb{F}_2^{(n-k) \times n}$, $s \in \mathbb{F}_2^{n-k}$, $\omega = w(e)$

① Repeat

② Repeat: Choose random permutation matrix $H \in \mathbb{F}_2^{n \times n}$. Let $PH = (P_1 | P_2)$.
Until P_1 is invertible.

Until $w(P_1^{-1} \cdot s) = \omega$.

$$e_1 = P_1^{-1} \cdot s$$

Output: $e = H \cdot (P_1^{-1} \cdot s, 0^k)$ $H^{-1} \cdot e = (e_1, e_2)$

Complexity: ② has polynomial complexity.

① succeeds with probability $\frac{\binom{n-k}{\omega}}{\binom{n}{\omega}}$.

$$T = \tilde{O}\left(\frac{\binom{n}{\omega}}{\binom{n-k}{\omega}}\right), \quad \eta = \tilde{O}(1).$$

McEliece params
 z^{143}

$$P \cdot H \cdot H^{-1} \cdot e = s$$

(e_1, e_2)

Grover Search ('96) / Amplitude Amplification ('97)

Let \mathcal{A} be an algorithm with success probability p .

Theorem (Classical): On expectation we run $\frac{1}{p}$ instantiations of \mathcal{A} until (first) success.

Proof: Expectation $E[X] = \frac{1}{p}$ of geometric distribution with $\Pr[X=n] = (1-p)^{n-1} \cdot p$.

Theorem (Quantum): On expectation we run $\sqrt{\frac{1}{p}}$ quantum instantiations of \mathcal{A} until success.

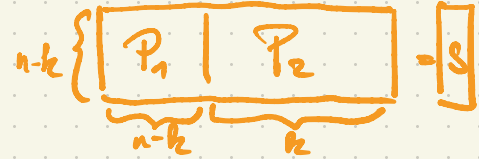
(without proof)

Typical square root speedup, can be shown to be optimal.

Prange with Amplitude Amplification

Prange's runtime is dominated by finding \bar{u} :

$$\rho = \Pr[\bar{u} \text{ is good}] = \frac{\binom{n-k}{\omega}}{\binom{n}{\omega}}.$$



McEliece: $n=3488, k=2720, \omega=64 \Rightarrow T_{\text{classic}} = \frac{1}{\rho} \approx 2^{143}$

$T_{\text{quantum}} = \sqrt{\frac{1}{\rho}} \approx 2^{72}$

Note: Quantumly, McEliece has less than 80 bit security.

(But: Amplitude Amplification requires large quantum circuit depth.)

Lee-Brickell ISD ('88)

Idea: Relax the requirement that all error positions land in information set.

$$\begin{array}{c}
 \begin{array}{|c|c|}
 \hline
 \begin{array}{c} w-p \\ e_1 \end{array} & \begin{array}{c} p \\ e_2 \end{array} \\
 \hline
 \end{array} \quad \leftarrow \text{some weight outside} \\
 \\
 n-k \left\{ \begin{array}{|c|c|}
 \hline
 I_{n-k} & P_1^{-1} \cdot P_2 \\
 \hline
 \end{array} \right\} = \left[\begin{array}{c} P_1^{-1} \cdot s \end{array} \right] \quad e_1 = P_1^{-1} \cdot s + P_1^{-1} \cdot P_2 \cdot e_2
 \end{array}$$

Lee-Brickell algo

Input: $P \in \mathbb{F}_2^{(n-k) \times n}$, $s \in \mathbb{F}_2^{n-k}$, $w = w(e)$, $p \ll w$

$$P \cdot \underbrace{H^{-1}}_{(e_1, e_2)} \cdot e = s$$

① Repeat

①.1 Repeat: Choose permutation $H \in \mathbb{F}_2^{n \times n}$. Let $(P_1 | P_2) = PH$. Until P_1 invertible.

①.2 For all $e_2 \in \mathbb{F}_2^k(p)$ \leftarrow Brute force of e_2

Until $w(\underbrace{P_1^{-1} \cdot s + P_1^{-1} \cdot P_2 \cdot e_2}_{e_1}) = w-p$.

② Output $e = H(P_1^{-1} \cdot s + P_1^{-1} \cdot P_2 \cdot e_2, e_2)$

Complexity : ① $\Pr(\# \text{ good}) = \frac{\binom{n-k}{w-p} \cdot \binom{k}{p}}{\binom{n}{w}}$
Lee-Brickell

better than Prange for $p > 0$

①.2 $|\mathbb{F}_2^k(p)| = \binom{k}{p}$

no cost in Prange

①. ①.2 $T = \frac{\binom{n}{w}}{\binom{n-k}{w-p} \cdot \binom{k}{p}} \cdot \binom{k}{p}$
omitting \tilde{O} for readability

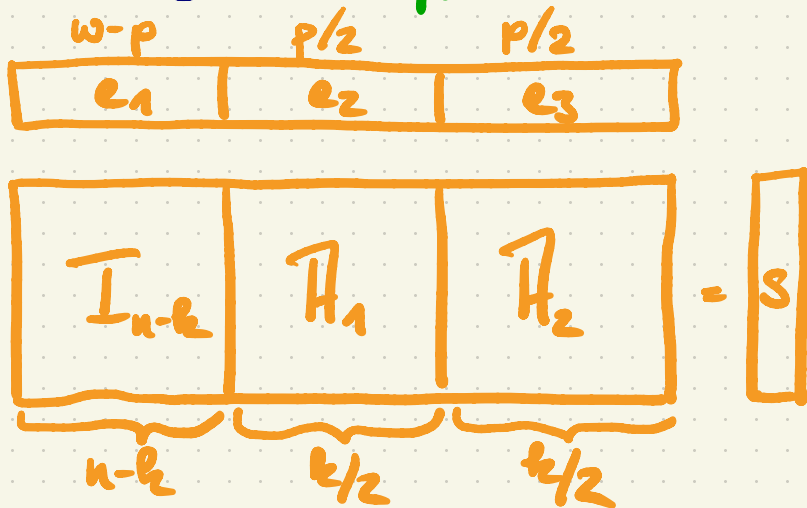
Since $w < \frac{n-k}{2}$, we maximize $\binom{n-k}{w-p}$ for the choice $p=0$

\Rightarrow Minimal run time $T = \frac{\binom{n}{w}}{\binom{n-k}{w}} \leftarrow$ identical to Prange

Question: Lee-Brickell identical to Prange? What's the point?

Well, use MITM instead of Brute-Force.

Mittl ISD (1st try)



Mittl identity:

$$e_1 + H_1 \cdot e_2 + H_2 \cdot e_3 = S$$

3 unknowns, but only 2 sides

Solution 1: Remove unknown e_1 (next slide)

Solution 2 (LSH): Use approximate identity $H_1 \cdot e_2 \approx e_1, S + H_2 \cdot e_3$

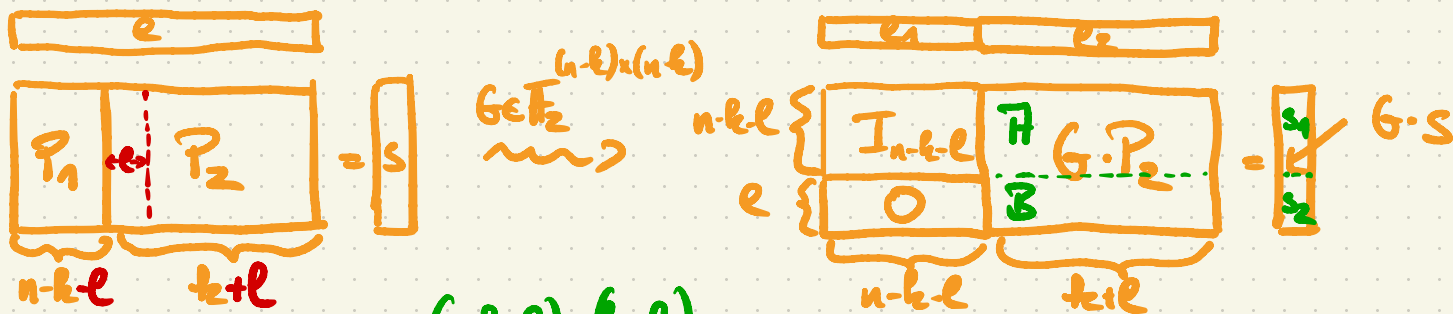
locality sensitive hashing

(better, but a bit more advanced :))

identity on all but $w-p$ positions

Leon's removal of e_1 ('88)

Leon's l -window: Use semi-systematic form. Q: How to compute?



Let $G \cdot P_2 = \begin{pmatrix} H \\ B \end{pmatrix} \begin{matrix} \leftarrow (n-k-l) \times (k+l) \\ \leftarrow l \times (k+l) \end{matrix}$ and $s = \begin{pmatrix} s_1 \\ s_2 \end{pmatrix} \begin{matrix} \leftarrow n-k-l \\ \leftarrow l \end{matrix} e$. Then

$$(1) \quad e_1 = s_1 + H \cdot e_2$$

$$(2) \quad 0 = s_2 + B \cdot e_2 \quad (\text{we removed the annoying } e_1)$$

Dumas-Stem ISD ('89)

Idea:

$w-p$	$p/2$	$p/2$
e_1	e_2	e_3

$$\begin{array}{c} \left. \begin{array}{l} n-k-l \\ e \end{array} \right\} \begin{array}{|c|c|c|} \hline I_{n-k-l} & \overline{H}_1 & \overline{H}_2 \\ \hline 0 & \overline{B}_1 & \overline{B}_2 \\ \hline \end{array} = \begin{array}{|c|} \hline s_1 \\ \hline s_2 \\ \hline \end{array} \begin{array}{l} \text{G.S} \\ \downarrow \end{array} \\ \underbrace{\hspace{1.5cm}}_{n-k-l} \quad \underbrace{\hspace{1.5cm}}_{\frac{k+l}{2}} \quad \underbrace{\hspace{1.5cm}}_{\frac{k+l}{2}} \end{array}$$

Identities: (1) $\overline{H}_1 \cdot e_2 \approx e_1 s_1 + \overline{H}_2 \cdot e_3$ approximate

(2) $\overline{B}_1 \cdot e_2 = s_2 + \overline{B}_2 \cdot e_3$ exact

Strategy: First check (2), then (1).

Dumas-Stern ISD

Input: $P \in \mathbb{F}_2^{(n-k) \times n}$, $s \in \mathbb{F}_2^{n-k}$, $w = w(k)$, $p \in w$, $l \in n-k$

① Repeat until success

①.1 Choose permutation $H \in \mathbb{F}_2^{n \times n}$.

We assume this is doable, otherwise repeat.

①.2 Compute semi-systematic form $G \cdot PH = \left(\begin{array}{c|cc} I_{n-k-l} & \Pi_1 & \Pi_2 \\ \hline 0 & \beta_1 & \beta_2 \end{array} \right)$, $G \cdot s = \begin{pmatrix} s_1 \\ s_2 \end{pmatrix}$.

①.3 For all $e_2 \in \mathbb{F}_2^{\frac{k-l}{2}} \left(\frac{P}{2} \right)$: Compute L with entries $(\beta_1 e_2, e_2)$

①.4 For all $e_3 \in \mathbb{F}_2^{\frac{k-l}{2}} \left(\frac{P}{2} \right)$:

①.4.1 For all $(s_2 + \beta_2 e_3, e_2) \in L$:

All (e_2, e_3) satisfying (2).

If $w(\Pi_1 e_2 + \Pi_2 e_3 + s_1) = w - p$, success.

Satisfy also (1)?

② Output: $e = H \left(\underbrace{\Pi_1 e_2 + \Pi_2 e_3 + s_1}_{e_1}, e_2, e_3 \right)$

Complexity: ① $\Pr[\text{It is good}] = \frac{(n-k-l) \cdot \binom{(k+l)/2}{p/2}^2}{\binom{n}{w}}$ candidates in ①.④.①

①.③ $|\mathbb{F}_2^{\frac{k+l}{2}} \left(\frac{p}{2}\right)| = \binom{(k+l)/2}{p/2}$ ①.④ $\binom{(k+l)/2}{p/2} \cdot \binom{(k+l)/2}{p/2} \cdot 2^{-l}$

$\Rightarrow T = \frac{\binom{n}{w}}{(n-k-l) \cdot \binom{(k+l)/2}{p/2}^2} \cdot \binom{(k+l)/2}{p/2} \cdot \max\left\{1, \binom{(k+l)/2}{p/2} \cdot 2^{-l}\right\}$

again omitting 0

$M = \binom{(k+l)/2}{p/2}$

The Eliece parameters: $n = 3488$, $k = 2720$, $w = 64$

Frangé for $l=k=0$: $T = 2^{143}$, no memory

Optimized $p=10$, $l=46$: $T = 2^{138}$, $M = 2^{45}$

5 bit save for quite heavy memory

Syndrome Decoding in the Goppa-McEliece Setting

Hall of Fame

Length	Weight	Authors	Algorithm	Date	Details
1409	26	Shintaro Narisada, Hiroki Furue, Yusuke Aikawa, Kazuhide Fukushima, and Shinsaku Kiyomoto	MMT variant	2023-11-13	See details
1347	25	Daniel J. Bernstein, Tanja Lange, Christiane Peters	See https://isd.mceliece.org/1347.html for more information.	2023-02-24	See details
1284	24	Andre Esser, Alex May and Floyd Zweydinger	MMT variant	2021-08-16	See details
1223	23	Andre Esser, Alex May and Floyd Zweydinger	BJMM/MMT variant	2021-05-10	See details
1161	22	Shintaro Narisada, Kazuhide Fukushima, and Shinsaku Kiyomoto	Dumer	2021-01-10	See details
1101	21	Anders Nilson	Multi threads Dumer4, Gregory Landais impl.	2020-08-14	See details
1041	19	Shintaro Narisada, Kazuhide Fukushima, and Shinsaku Kiyomoto	Dumer	2020-08-11	See details
982	20	Noémie Bossard	Multithreaded Dumer4, Gregory	2020-	

			Landais original implementation	07-02	See details
923	19	Valentin Vasseur	Dumer	2020-03-17	See details
865	18	Valentin Vasseur	Dumer	2019-11-06	See details
808	17	Valentin Vasseur	Dumer	2019-11-06	See details
751	16	Valentin Vasseur	Dumer	2019-11-06	See details
695	14	Valentin Vasseur	Dumer	2019-09-22	See details
640	13	P. Loidreau	dumer4 by G. Landais	2019-09-15	See details
587	12	P. Loidreau	dumer4 by G. Landais	2019-09-15	See details
534	11	Francesco Tinarelli	-	2019-08-26	See details
482	11	Francesco Tinarelli	-	2019-08-24	See details
431	10	Francesco Tinarelli	-	2019-	

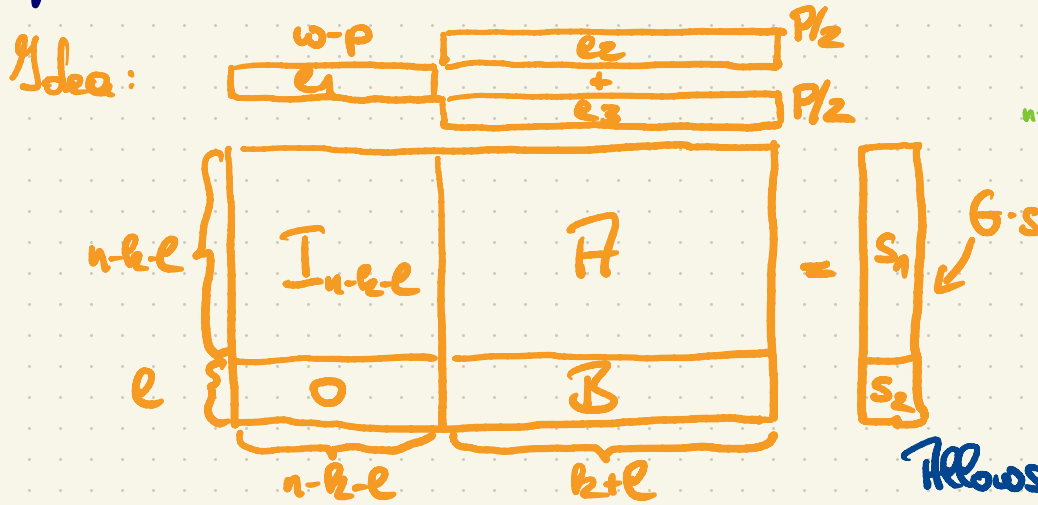
				08-24	See details
381	9	Francesco Tinarelli	-	2019-08-24	See details
333	8	Francesco Tinarelli	-	2019-08-23	See details
286	7	Julien Lavauzelle	Lee-Brickell	2019-08-13	See details
240	6	Julien Lavauzelle	Lee-Brickell	2019-08-13	See details
197	5	Julien Lavauzelle	Lee-Brickell	2019-08-13	See details
156	4	Julien Lavauzelle	Lee-Brickell	2019-08-13	See details
117	4	Julien Lavauzelle	Lee-Brickell	2019-08-13	See details
80	3	Julien Lavauzelle	Lee-Brickell	2019-08-13	See details
48	1	Aleksei Udovenko	-	2019-08-20	See details
20	1	Aleksei Udovenko	-	2019-	

Syndrome Decoding in the Goppa-McEliece Setting

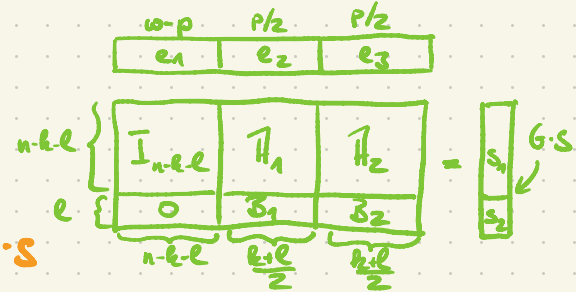
Details on record 14

Length	640
Challenge provider	0 - 🇫🇷 Inria Paris
Weight	13
Vector	<pre> 0000000000000000000000001000000000000000000000000011 0000000000000000000000001000000000000100000000000000 1000 0001 00010000000000 00 00010000 000 000 00000000000000000000000001000000000000010000000000000 000 00 0001001000 </pre>
Authors	P. Loidreau
Algorithm	dumer4 by G. Landais
Hardware	i7-2.3Ghz
Runtime	40s
Comments	Theoretical work factor : 2^{32} with parameters for Dumer $p=4$ and $l = 8$
Date	2019-09-15

Hay-Neuner-Thomas (HNT '11)



Compare with Dumas-Sten



$R = \begin{pmatrix} p \\ p/2 \end{pmatrix}$ representations

Allows to fix $\log(R) \approx p$ coordinates.

Identities: (1) $H e_2 \approx e_1 s_1 + H e_3$ approximate

(2) $B e_2 = s_2 + B e_3$ exact

Strategy: First check (2), then (1).

TTTT

Input: $P \in \mathbb{F}_2^{(n-k) \times n}$, $s \in \mathbb{F}_2^{n-k}$, $w = w(k)$, $p \leq w$, $\ell \leq n-k$

① Repeat until success

①.1 Choose permutation $H \in \overline{\mathbb{F}_2}^{n \times n}$. Set $R = \begin{pmatrix} P \\ P/2 \end{pmatrix}$.

①.2 Compute semi-systematic form $G \cdot P/H = \left(\begin{array}{c|c} I_{n-k-\ell} & \mathbb{F} \\ \hline 0 & \mathbb{B} \end{array} \right)$, $G \cdot s = \begin{pmatrix} s_1 \\ s_2 \end{pmatrix}$.

①.3 For all $e_2 \in \mathbb{F}_2^{k-\ell} \left(\frac{P}{2} \right)$: Compute $L_1 = \{ (Be_2, e_2) \mid [Be_2]_p = 0 \}$. ↙ requires $p \leq \ell$.

①.4 For all $e_3 \in \mathbb{F}_2^{k-\ell} \left(\frac{P}{2} \right)$: Compute $L_2 = \{ (s_2 + Be_3, e_3) \mid [Be_3]_p = 0 \}$.

①.4.1 For all $(Be_2, e_2, s_2 + Be_3, e_3) \in L_1 \times L_2$ with $Be_2 = s_2 + Be_3$

If $w(H(e_2 + e_3) + s_1) = w - \underbrace{w(e_2 + e_3)}_{\leq p}$, then success.

② Output: $e = H \left(\underbrace{H(e_2 + e_3)}_{e_1} + s_1, e_2 + e_3 \right)$ ↙ $\leq p$

Complexity: ① $\Pr[\text{it is good}] = \frac{\binom{n-k-l}{w-p} \cdot \binom{k+l}{p}}{\binom{n}{w}}$ elements in L_1, L_2 already match in p coordinates

①.3 + ①.4 $|L_1| = |L_2| = \frac{\binom{k+l}{p/2}}{\binom{p}{p/2}}$ ①.4.1 $|L_1| \cdot |L_2| \cdot 2^{l-p}$

$$\Rightarrow T = \frac{\binom{n}{w}}{\binom{n-k-l}{w-p} \cdot \binom{k+l}{p}} \cdot \frac{\binom{k+l}{p/2}}{\binom{p}{p/2}} \cdot \max \left\{ \frac{\binom{k+l}{p/2}}{\binom{p}{p/2}} \cdot 2^{l-p}, 1 \right\}$$

$$M = \frac{\binom{k+l}{p/2}}{\binom{p}{p/2}}$$

↑ here we assume that L_1, L_2 can be constructed in time $|L_1|, |L_2|$.

Exercise: Construct a NITM for L_1, L_2 , good

The Eliece parameters: $n = 3488, k = 2720, w = 64$ enough for the Eliece params.

Prange for $l=p=0$: $T = 2^{143}$, no memory Dumer-Stern

Optimized $p=18, l=87$: $T = 2^{133}, M = 2^{54}$ $T = 2^{138}, M = 2^{45}$

↑ yet another 5 bit



Partial Key Exposure

Alexander May, Ruhr-University Bochum

Decoding Goppa Codes

Exercise: Implement it.

Theorem: Let $y = c + re$ for some $c \in \mathcal{C}(L, g)$ with $w(r) \leq t$.

Then c can be computed efficiently.

Proof: We have $s_y(x) = \sum_{i=1}^n \frac{y_i}{x - \alpha_i} = \underbrace{\sum_{i=1}^n \frac{c_i}{x - \alpha_i}}_{=0} + \sum_{i=1}^n \frac{e_i}{x - \alpha_i} = \sum_{i \in \text{supp}(e)} \frac{1}{x - \alpha_i} \pmod{g^2(x)}$.

Multiplication by (the unknown) $f_e(x) = \prod_{i \in \text{supp}(e)} (x - \alpha_i) \pmod{g^2(x)}$ yields

$$\underbrace{f_e(x)}_{\text{unknown}} \cdot \underbrace{s_y(x)}_{\text{known}} = \sum_{i \in \text{supp}(e)} \prod_{\substack{j \in \text{supp}(e) \\ j \neq i}} (x - \alpha_j) = \underbrace{f'_e(x)}_{\text{unknown}} \pmod{g^2(x)}$$

We have $\deg(f_e) = t$ and $\deg(f'_e) = t - 1$.

Solve the $2t$ equations in the $2t - 1$ unknown coeffs of $f_e(x)$ and $f'_e(x)$.

Why only $2t - 1$ unknowns?

Factor $f_e(x)$ over $\mathbb{F}_2^n[x]$ in linear factors. Determine $\text{supp}(e)$ from α_i 's. ■

Partial Key Exposure

Recall: McEliece secret key: $L = \{\alpha_1, \dots, \alpha_n\}$, $g(x) \in \mathbb{F}_2^m[x]$, $\deg(g) = t$

$$n = 3488, m = 12, t = 64$$

public key: $P = (I_{tm} | H) \in \mathbb{F}_2^{tm \times n}$ $tm = 768$

ciphertext: $c = P \cdot e^t$ with $w(e) = t$
 \uparrow embedding of m into $\mathbb{F}_2^n(t)$

Question: Can we reconstruct (L, g) from partial information?

Motivation Partial Key Recovery attack: Obtain partial information from side channels.

Secret Key Recovery from Hill Goppa points

Theorem: Given $P \in \mathbb{F}_2^{t \times n}$ and $L = (\alpha_1, \alpha_2, \dots, \alpha_n) \in \mathbb{F}_2^n$.

L suffices to compute $g(x)$.

Then $g(x)$ can be computed efficiently.

Proof: Compute a codeword $c \in \mathbb{F}_2^n$ with $P \cdot c = 0$. **Exercise:** Give an algorithm.

$$\Rightarrow f'_c(x) = \sum_i \prod_{\substack{j \in \text{supp}(c) \\ j \neq i}} (x - \alpha_j) \text{ and } g(x) \mid f'_c(x).$$

\uparrow known

Factor $f'_c(x) \in \mathbb{F}_2[x]$ into irreducible factors.

If there is a unique deg- t factor, output $g(x)$.

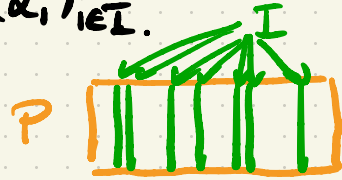
Otherwise restart with a different codeword c . ■

Secret Key Recovery from $tm+1$ Goppa points

We know only points from I .



Theorem: Given $P \in \mathbb{F}_2^{tm \times n}$, $I \subseteq \{1, \dots, n\}$, $|I| \geq tm+1$, $(\alpha_i)_{i \in I}$.
(Kirshanova, May, '22) Then $g(x)$ can be computed efficiently.



Proof: Let $[P]_I \in \mathbb{F}_2^{tm \times |I|}$ denote the projection of P to the coords in I .

Compute $c' \in \mathbb{F}_2^{|I|}$ with $[P]_I \cdot c' = 0$. Again, how?

Expand c' with zeros to $c \in C(L, g)$ having $\text{supp}(c) \subseteq I$.

$$\Rightarrow f_{c'}(x) = \sum_{i \in \text{supp}(c)} \prod_{\substack{j+1 \\ j \in \text{supp}(c)}} (x - \alpha_j) \text{ and } g(x) \mid f_{c'}(x).$$

only known α_j 's from I

Find $g(x)$ from factoring $f_{c'}(x)$ over $\mathbb{F}_2[x]$. ■

(n, t, m)	$\ell = tm + 1$	$ \mathcal{L} = 1$	$\ell = tm + 2$	$ \mathcal{L} = 1$	Av. time
(3488, 64, 12)	769	97%	770	100%	18 sec
(4608, 96, 13)	1249	99%	1250	100%	54 sec
(6960, 119, 13)	1548	99%	1549	100%	91 sec
(8192, 128, 13)	1665	99%	1666	100%	105 sec

Table: Recovery of Goppa polynomial $g(x)$.

Recovery of Remaining Points

Exercise: $\mathbb{H} \cdot x = b$ is solvable iff $\text{rank}(\mathbb{H}) = \text{rank}(\mathbb{H}b)$.

Theorem: Given $P \in \mathbb{F}_2^{tm \times n}$, $I \subseteq \{1, \dots, n\}$, $|I| \geq tm+1$, $(\alpha_i)_{i \in I}$, $g(x) \in \mathbb{F}_2^m[x]$.
(Kirshanova, May, '22) Then $L = (\alpha_1, \dots, \alpha_n)$ can be recovered efficiently.

Proof: Let us recover α_r for some $r \in \{1, \dots, n\} \setminus I$.

Assume for simplicity that $\text{rank}([P]_I) = tm$. Solve linear equation

$$[P]_I \cdot c' = [P]_r \quad \leftarrow \begin{array}{l} \text{r-th column of } P \\ \text{rank}([P]_I) = tm \\ = \text{rank}([P]_{I \cup \{r\}}) \end{array}$$

Expand c' with zeros to $c \in \mathbb{C}(L, q)$ with $\text{supp}(c) \subseteq I \cup \{r\}$.

$$\Rightarrow \sum_{i \in \text{supp}(c)} \frac{1}{x - \alpha_i} = \frac{1}{x - \alpha_r} \pmod{g(x)}$$

known (green arrow from \sum to $\frac{1}{x - \alpha_r}$)
unknown (red arrow from $\frac{1}{x - \alpha_r}$ to $\pmod{g(x)}$)

Compute $(\sum_{i \in \text{supp}(c)} \frac{1}{x - \alpha_i})^{-1} = x - \alpha_r \pmod{g(x)}$, read off α_r . ■

(n, t, m)	$\ell = tm + 1$	time
(3488, 64, 12)	769	42 sec
(4608, 96, 13)	1249	130 sec
(6960, 119, 13)	1548	167 sec
(8192, 128, 13)	1665	183 sec

Notice: 2^{13} ↗

Table: Experimental results for point recovery.

Support Splitting Algorithm

Setting: We know all Goppa points, but not their order.

Example McEliece: $m = 8192 = 2^{13} = 2^m \Rightarrow L = \mathbb{F}_2^m$

Question: Assume that we know $g(x)$ and $L = \{\alpha_1, \dots, \alpha_n\}$, can we order L ?

Theorem (Sendrier's Support Splitting '00): Let $P \in \mathbb{F}_2^{(n-k) \times n}$ the parity check matrix of C .

Let $P' = S \cdot P \cdot \Pi$ for some invertible $S \in \mathbb{F}_2^{(n-k) \times (n-k)}$ and permutation $\Pi \in \mathbb{F}_2^{n \times n}$.

Then one can (efficiently) find the permutation $\Pi \in \mathbb{F}_2^{n \times n}$.

Proof omitted. Nice algorithm, but tricky analysis.

Idea of attack (knowing $g(x)$):

- Let $\mathbb{F}_{2^m} = \{\alpha_1, \alpha_2, \dots, \alpha_n\}$.
- Construct matrix

$$H = \begin{pmatrix} 1 & 1 & \dots & 1 \\ \alpha_1 & \alpha_2 & \dots & \alpha_n \\ \vdots & \vdots & \dots & \vdots \\ \alpha_1^{t-1} & \alpha_2^{t-1} & \dots & \alpha_n^{t-1} \end{pmatrix} \cdot \begin{pmatrix} g(\alpha_1) & & & \\ & g(\alpha_2) & & \\ & & \ddots & \\ \sigma & & & g(\alpha_n) \end{pmatrix} \in \mathbb{F}_{2^m}^{t \times n}$$

- Apply canonical embedding $H \rightarrow P' \in \mathbb{F}_2^{tm \times n}$.
- Run Support Splitting on McEliece public key P and P' to find \bar{u} .
- Apply \bar{u} to recover L .

Notice: Brute-force on $g(x) \in \mathbb{F}_{2^m}[x]$ costs $\tilde{O}(2^{tm})$ trials. $tm = 128 \cdot 13$

Open research question: Key security of McEliece.

Wrap Up (Lessons Learned)

- McEliece is quite an elegant encryption scheme. if you like (linear) algebra
- Lots of cryptanalysis in theory and practice: Prange, Dumer-Jeu, TMT, ...
- Almost square root speedup quantumly.
- Secret key security \Rightarrow Syndrome decoding security?
- Quite efficient Partial Key Exposure attacks.

Some advertisement: Try bochum-challenges.